

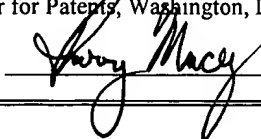
#1466  
3-28-03

PATENT  
Attorney Docket No. CSI-2013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 17, 2003.

 Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE VALVE  
REPAIR PROCEDURE AND APPARATUS

Examiner: U. Ho

Group Art Unit: 3731

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☒ A fee is required. A check including the amount of \$180.00 is enclosed.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

Applicants further bring the attention of the Examiner to the following co-owned pending patent applications:

U.S. Serial No. 09/090,305 filed June 3, 1998 and the related continuation application

Serial No. 10/364,064 filed February 10, 2003

U.S. Serial No. 09/089,884 filed June 3, 1998

U.S. Serial No. 10/188,013 filed July 1, 2002, which is a continuation of Serial No.

09/259,705, now U.S. Patent No. 6,514,265

U.S. Serial No. 09/260,623 filed March 1, 1999 and the related continuation application

Serial No. 10/208,405

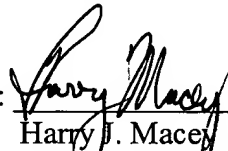
U.S. Serial No. 09/686,729 filed October 10, 2000

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2013. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: March 17, 2003

By:   
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